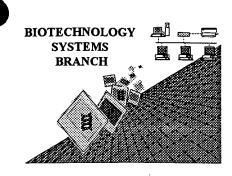
C. Kay fran

## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following CRF diskette:

Application Serial Number:

69/157,289B

Art Unit / Team No.:

1646

Date Processed by STIC:

08/03/99

THE ATTACHED PRINTOUT EXPLAINS THE ERRORS DETECTED.

PLEASE BE SURE TO FORWARD THIS INFORMATION TO THE APPLICANTS BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANTS ALONG WITH A NOTICE TO COMPLY or,
- 2) CALLING APPLICANTS AND FAXING THEM A COPY OF THE PRINTOUT WITH A NOTICE TO COMPLY

THIS WILL INSURE THAT THE NEXT SUBMISSION RECEIVED FROM THEM WILL BE ERROR FREE.

IF YOU HAVE ANY FURTHER QUESTIONS, PLEASE CALL:

**MARK SPENCER 703-308-4212** 

## **Raw Sequence Listing Error Summary**

## ERROR DETECTED SUGGESTED CORRECTION SERIAL NUMBER: 09/157, 789 E

	-						
ATTN	: NEW RULES CASES: F	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE					
1	Wrapped Nucleics	The number/text at the end of each line "wrapped" down to the next line.					
-	• • •	This may occur if your file was retrieved in a word processor after creating it.					
		Please adjust your right margin to .3, as this will prevent "wrapping".					
2	Wrapped Aminos	The amino acid number/text at the end of each line "wrapped " down to the next line.					
		This may occur if your file was retrieved in a word processor after creating it.					
		Please adjust your right margin to .3, as this will prevent "wrapping".					
3	Incorrect Line Length	The rules require that a line not exceed 72 characters in length. This includes spaces.					
4	Misaligned Amino Acid	The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs					
	Numbering	between the numbering. It is recommended to delete any tabs and use spacing between the numbers.					
5	Non-ASCII	This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.					
		Please ensure your subsequent submission is saved in ASCII text so that it can be processed.					
6	Variable Length	Sequence(s) contain n's or Xaa's which represented more than one residue.					
		As per the rules, each n or Xaa can only represent a single residue.					
		Please present the maximum number of each residue having variable length and					
		indicate in the (ix) feature section that some may be missing.					
7	Patentin ver. 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid					
		sequence(s) Normally, Patentln would automatically generate this section from the					
		previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section					
		to the subsequent amino acid sequence.					
8	Skipped Sequences	Sequence(s) missing. If intentional, please use the following format for each skipped sequence:					
	(OLD RULES)	(2) INFORMATION FOR SEQ ID NO:X:					
		(i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")					
		(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:					
		This sequence is intentionally skipped					
		Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).					
9	Skipped Sequences	Sequence(s) missing. If intentional, please use the following format for each skipped sequence.					
	(NEW RULES)	<210> sequence id number					
		<400> sequence id number					
		000					
10	Use of n's or Xaa's	Use of n's and/or Xaa's have been detected in the Sequence Listing.					
	(NEW RULES)	Use of <220> to <223> is MANDATORY if n's or Xaa's are present.					
		In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.					
11	Use of <213>Organism	Sequence(s) are missing this mandatory field or its response.					
	(NEW RULES)	Sequence(s) 7, maybe more Sequence(s) are missing the <220>Feature and associated headings.					
12 1	Use of <220>Feature	Sequence(s) are missing the <220>Feature and associated headings.					
	(NEW RULES)	Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"					
	,,	Please explain source of genetic material in <220> to <223> section.					
		(See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)					
13	Patentin ver. 2.0 "bug"	Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted					
·	voi. z.o bug	file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).					
		Instead, please use "File Manager" or any other means to copy file to floopy disk.					

AKS-Biotechnology Systems Branch- 5/15/99





PAGE: 1

RAW SEQUENCE LISTING
PATENT APPLICATION US/09/157,289B

DATE: 08/03/1999

TIME: 16:22:43

Input Set: I157289B.RAW

This Raw Listing contains the General Information Section and up to first 5 pages.

see page 5

```
<110> APPLICANT: ASHKENAZI, AVI J.
 1
                                                          Does Not Comply
                                                     Corrected Diskette Needed
 2
           BOTSTEIN, DAVID
 3
           DODGE, KELLY H.
           GURNEY, AUSTIN L.
 4
           KIM, KYUNG JIN
 5
 6
           LAWRENCE, DAVID A.
           PITTI, ROBERT
 7
 8
           ROY, MARGARET A.
 9
           TUMAS, DANIEL B.
10
           WOOD, WILLIAM I.
71
           GENENTECH INC.
     <120> TITLE OF INVENTION: DCR3 Polypeptide, A TNFR Homolog
     <130> FILE REFERENCE: 11669.31US03
     <140> CURRENT APPLICATION NUMBER: US/09/157,289B
     <141> CURRENT FILING DATE: 1998-09-18
    <150> EARLIER APPLICATION NUMBER: 60/059,288
16
17
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18
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    <151> EARLIER FILING DATE: 1998-07-30
    <160> NUMBER OF SEQ ID NOS: 16
20
21
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22
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23
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25
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26
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27
28
                                                 10
29
           Ala Leu Pro Ala Leu Leu Pro Val Pro Ala Val Arg Gly Val Ala Glu
30
                        20
                                             25
           Thr Pro Thr Tyr Pro Trp Arg Asp Ala Glu Thr Gly Glu Arg Leu Val
31
32
           Cys Ala Gln Cys Pro Pro Gly Thr Phe Val Gln Arg Pro Cys Arg Arg
33
34
                                     55
35
           Asp Ser Pro Thr Thr Cys Gly Pro Cys Pro Pro Arg His Tyr Thr Gln
36
                                70
37
           Phe Trp Asn Tyr Leu Glu Arg Cys Arg Tyr Cys Asn Val Leu Cys Gly
38
                                                 90
39
           Glu Arg Glu Glu Glu Ala Arg Ala Cys His Ala Thr His Asn Arg Ala
40
                                            105
41
           Cys Arg Cys Arg Thr Gly Phe Phe Ala His Ala Gly Phe Cys Leu Glu
42
                                       120
           His Ala Ser Cys Pro Pro Gly Ala Gly Val Ile Ala Pro Gly Thr Pro
43
44
               130
                                    135
                                                        140
```

1646

RAW SEQUENCE LISTING PAGE: 2 DATE: 08/03/1999 TIME: 16:22:43

PATENT APPLICATION US/09/157,289B

Input Set: I157289B.RAW

```
45
           Ser Gln Asn Thr Gln Cys Gln Pro Cys Pro Pro Gly Thr Phe Ser Ala
46
                               150
                                                    155
           Ser Ser Ser Ser Glu Gln Cys Gln Pro His Arg Asn Cys Thr Ala
47
48
                                                170
49
           Leu Gly Leu Ala Leu Asn Val Pro Gly Ser Ser Ser His Asp Thr Leu
50
                       180
                                           185
51
           Cys Thr Ser Cys Thr Gly Phe Pro Leu Ser Thr Arg Val Pro Gly Ala
52
                                       200
53
           Glu Glu Cys Glu Arg Ala Val Ile Asp Phe Val Ala Phe Gln Asp Ile
54
                                   215
55
           Ser Ile Lys Arg Leu Gln Arg Leu Gln Ala Leu Glu Ala Pro Glu
56
                               230
                                                    235
57
           Gly Trp Gly Pro Thr Pro Arg Ala Gly Arg Ala Ala Leu Gln Leu Lys
58
                           245
                                                250
59
           Leu Arg Arg Leu Thr Glu Leu Leu Gly Ala Gln Asp Gly Ala Leu
60
                       260
61
           Leu Val Arg Leu Leu Gln Ala Leu Arg Val Ala Arg Met Pro Gly Leu
62
                                       280
63
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64
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65
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66
67
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68
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69
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70
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71
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     <223> OTHER INFORMATION: n = any nucleotide
72
73
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75
           gtccgcgctg agccgcgctc tccctgctcc agcaaggacc atgagggcgc tggaggggcc 120
76
           aggeotyteg etgetytee tygtyttyge getycetyce etgetycegy tycegyetyt 180
77
           acgeggagtg geagaaacac ceacetacec etggegggae geagagacag gggagegget 240
78
           ggtgtgcgcc cagtgccccc caggcacctt tgtgcagcgg ccgtgccgcc gagacagccc 300
           cacgacgtgt ggcccgtgtc caccgcgcca ctacacgcag ttctggaact acctggagcg 360
79
80
           ctgccgctac tgcaacgtcc tctgcgggga gcgtgaggag gaggcacggg cttgccacgc 420
81
           cacccacaac cgtgcctgcc gctgccgcac cggcttcttc gcgcacgctg gtttctgctt 480
82
           ggagcacgca tcgtgtccac ctggtgccgg cgtgattgcc ccgggcaccc ccagccagaa 540
83
           cacgcagtgc cagccgtgcc ccccaggcac cttctcagcc agcagctcca gctcagagca 600
84
          gtgccagccc caccgcaact gcacggccct gggcctggcc ctcaatgtgc caggctcttc 660
85
          ctcccatgac accetgtgca ccagetgcac tggcttcccc ctcagcacca gggtaccagg 720
          agctgaggag tgtgagcgtg ccgtcatcga ctttgtggct ttccaggaca tctccatcaa 780
86
87
          gaggetgeag eggetgetge aggecetega ggeceeggag ggetggggte egacaceaag 840
88
          ggegggeege geggeettge agetgaaget gegteggegg eteaeggage teetggggge 900
          gcaggacggg gcgctgctgg tgcggctgct gcaggcgctg cgcgtggcca ggatgcccgg 960
89
90
          gctggagcgg agcgtccgtg agcgcttcct ccctgtgcac tgatcctggc cccctcttat 1020
91
           ttattctaca tccttqqcac cccacttqca ctgaaagagg ctttttttta aataqaagaa 1080
92
           atgaggtttn ttaaaaaaaa aaaaaaaaaa aaaa
                                                                              1114
93
     <210> SEO ID NO 3
     <211> LENGTH: 491
94
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1646 RAW SEQUENCE LISTING DATE: 08/03/1999 PAGE: 3 PATENT APPLICATION US/09/157,289B TIME: 16:22:43 Input Set: I157289B.RAW - see item 12 on Error summany sheet <212> TYPE: DNA 95 <213> ORGANISM: Unknown 96 <220> FEATURE 97 98 <221> NAME/KEY: unsure <222> LOCATION: (62) 99 100 <223> OTHER INFORMATION: n = any nucleotide <220> FEATURE: 101 102 <221> NAME/KEY: unsure 103 <222> LOCATION: (73) 104 <223> OTHER INFORMATION: n = any nucleotide<220> FEATURE: 105 <221> NAME/KEY: unsure 106 107 <222> LOCATION: (86) 108 <223> OTHER INFORMATION: n = any nucleotide 109 <220> FEATURE: <221> NAME/KEY: unsure 110 <222> LOCATION: (98) 111 112 <223> OTHER INFORMATION: n = any nucleotide

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121 accagggtac caggagctga ggagtgtgag cgtgccgtca tcgactttgt ggctttccag 480 122 qacatctcca t 491

123 <210> SEQ ID NO 4 124 <211> LENGTH: 73

124 <211> LENGTH: 73 125 <212> TYPE: DNA

126 <213> ORGANISM: Unknown

127 <220> FEATURE:

128 <221> NAME/KEY: misc\_feature 129 <222> LOCATION: (1)..(73)

130 <223> OTHER INFORMATION: Description of Unknown Organism: UNKNOWN

131 <400> SEQUENCE: 4

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135 <211> LENGTH: 271

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140 <222> LOCATION: (42)

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142 <220> FEATURE:

143 <221> NAME/KEY: unsure

144 <222> LOCATION: (62)

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PAGE: 4 RAW SEQUENCE LISTING DATE: 08/03/1999

PATENT APPLICATION US/09/157,289B TIME: 16:22:43

Input Set: I157289B.RAW

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- 146 <220> FEATURE:
- 147 <221> NAME/KEY: unsure
- 148 <222> LOCATION: (73)
- 149 <223> OTHER INFORMATION: n = any nucleotide
- 150 <220> FEATURE:
- 151 <221> NAME/KEY: unsure
- 152 <222> LOCATION: (86)
- 153 <223> OTHER INFORMATION: n = any nucleotide
- 154 <220> FEATURE:
- 155 <221> NAME/KEY: unsure
- 156 <222> LOCATION: (98)
- 157 <223> OTHER INFORMATION: n = any nucleotide
- 158 <220> FEATURE:
- 159 <221> NAME/KEY: unsure
- 160 <222> LOCATION: (106)
- 161 <223> OTHER INFORMATION: n = any nucleotide
- 162 <220> FEATURE:
- 163 <221> NAME/KEY: unsure
- 164 <222> LOCATION: (120)
- 165 <223> OTHER INFORMATION: n = any nucleotide
- 166 <220> FEATURE:
- 167 <221> NAME/KEY: unsure
- 168 <222> LOCATION: (122)
- 169 <223> OTHER INFORMATION: n = any nucleotide
- 170 <220> FEATURE:
- 171 <221> NAME/KEY: unsure
- 172 <222> LOCATION: (153)
- 173 <223> OTHER INFORMATION: n = any nucleotide
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- 175 <221> NAME/KEY: unsure
- 176 <222> LOCATION: (167)
- 177 <223> OTHER INFORMATION: n = any nucleotide
- 178 <220> FEATURE:
- 179 <221> NAME/KEY: unsure
- 180 <222> LOCATION: (184)
- 181 <223> OTHER INFORMATION: n = any nucleotide
- 182 <220> FEATURE:
- 183 <221> NAME/KEY: unsure
- 184 <222> LOCATION: (220)
- 185 <223> OTHER INFORMATION: n = any nucleotide
- 186 <220> FEATURE:
- 187 <221> NAME/KEY: unsure
- 188 <222> LOCATION: (233)
- 189 <223> OTHER INFORMATION: n = any nucleotide
- 190 <400> SEQUENCE: 5
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  W--> 192 antaactgga gcnctgccgc tactgnaacg tcctctgngg ggagcntgag gaggaggcan 120
  W--> 193 gngcttgcca cgccacccac aaccgcgcct gcngctgcag caccggnttc ttcgcgcacg 180
- W--> 194 ctgntttctg cttggagcac gcatcgtgtc cacctggtgn cggcgtgatt gcnccgggca 240

RAW SEQUENCE LISTING DATE: 08/03/1999 PAGE: 5

PATENT APPLICATION US/09/157,289B

Input Set: I157289B.RAW

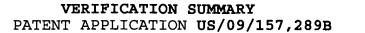
TIME: 16:22:43

```
271
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196
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197
      <211>`LENGTH: 201
      <212> TYPE: DNA
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                      Unknówn
199
      <220> FEATURE:
200
201
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            ggaggaggca cgggcttgcc acgccaccca caaccgtgcc tgccgctgcc gcaccggctt 120
206
207
            cttcgcgcac gctggtttct gcttggagca cgcatcgtgt ccacctggtg ccggcgtgat 180
208
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                                                                                 201
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211
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214
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219
            ttgcaccctg agctaggaca ccagttcccc tgaccctgtt cttccctcct ggctgcaggc 120
220
            acceccagee agaacaegea gnecageegt geeceecagg cacettetea geeageaget 180
221 .
            ccaqctcaqa gcaqtqccaq ccccaccqca actgcacggc cctgggcctg gccctcaatg 240
222
            tgccaggctc ttcctcccat gacaccctgt gcaccag
                                                                                 277
223
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225
      <212> TYPE: DNA
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      <213> ORGANISM: Unknown
      <220> FEATURE:
227
228
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233
            gcctagccgt gccccccagg caccttctca gccagcagct ccagctcaga gcagtgccag 120
234
            ccccaccgca actgcacggc cctgggcctg gccctcaatg tgccaggctc ttcctcccat 180
235
            gacaccctgt gcaccagct
                                                                                 199
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238
      <212> TYPE: DNA
      <213> ORGANISM: Unknown
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240
      <220> FEATURE:
241
      <221> NAME/KEY: unsure
      <222> LOCATION: (4)
242
243
      <223> OTHER INFORMATION: n = any nucleotide
244
      <220> FEATURE:
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## Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

PAGE: 6 ··



DATE: 08/03/1999 TIME: 16:22:43

Input Set: I157289B.RAW

Line	?	Error/Warning						Original Text				
	-											
92	W	"N"	or	"Xaa"	used:	Feature	required	atgaggtttn	ttaaaaaaaa	aaaaaaaaa	aaaa	
115	W	"N"	or	"Xaa"	used:	Feature	required	antaactgga	gcnctgccgc	tactgnaacg	tcctctgn	
191	W	"N"	or	"Xaa"	used:	Feature	required	gccgagacag	ccccacgacg	tgtggcccgt	gtccaccg	
192	W	"N"	or	"Xaa"	used:	Feature	required	antaactgga	gcnctgccgc	tactgnaacg	tcctctgn	
193	W	"N"	or	"Xaa"	used:	Feature	required	gngcttgcca	cgccacccac	aaccgcgcct	gcngctgc	
194	W	"N"	or	"Xaa"	used:	Feature	required	ctgntttctg	cttggagcac	gcatcgtgtc	cacctggt	
208	W	"N"	or	"Xaa"	used:	Feature	required	tnccccgggc	accccagcc	a		
220	W	"N"	or	"Xaa"	used:	Feature	required	acccccagcc	agaacacgca	gnccagccgt	gcccccca	
257	W	"N"	or	"Xaa"	used:	Feature	required	agcngtgcnc	cncaggcacc	ttctcagcca	gcagttcc	
259	W	"N"	or	"Xaa"	used:	Feature	required	cgctgtgcac	cagctgcact	ggcttcccc	tcagcacc	
278	W	"N"	or	"Xaa"	used:	Feature	required	cttgtccacc	tggtgccggc	gtgattnccc	gggcaccc	
279	W	"N"	or	"Xaa"	used:	Feature	required	gccntcccc	caggcacctt	ctcagccagc	agctccag	
280	W	"N"	or	"Xaa"	used:	Feature	required	cqcaactqca	acqccctqqn	ctggccctca	atgtgcca	